

# **Election Expenditure Monitoring [EEM]**

**Sunil Verma (NLMT)**

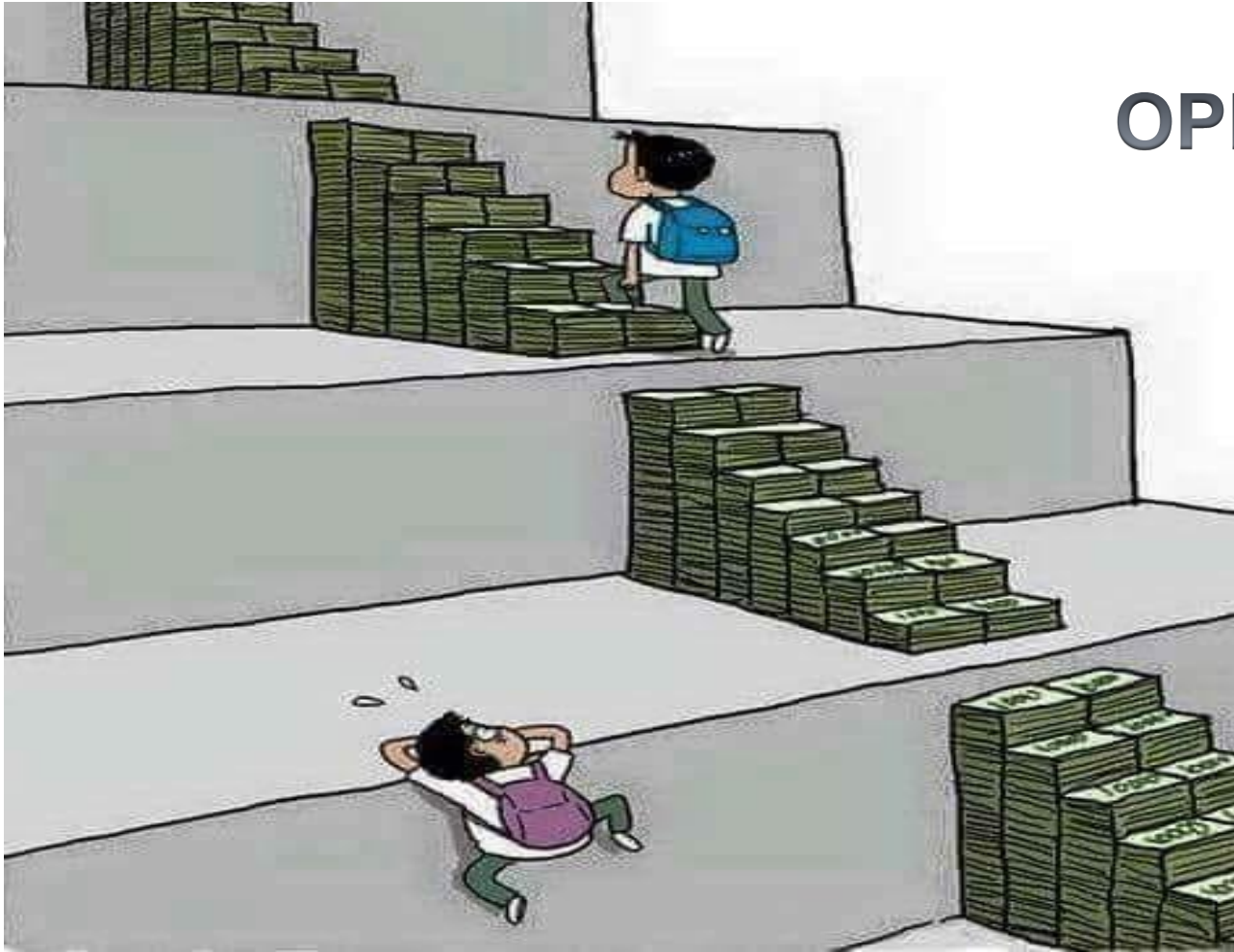
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# DISCLAIMER !!!

- **This ppt on accounts on election expenditure is for familiarizing the various officials, functionaries and stake holders of the elections to state legislative assemblies conducted by the Election Commission of India about the processes, legal provisions, ECI guidelines, etc. relevant to these elections.**
- **Content of this ppt are not exhaustive and for any specific clarification, reference should be made to the relevant provisions of law and the instructions of the Election Commission of India as updated from time to time.**



# EQUALITY OF OPPORTUNITY

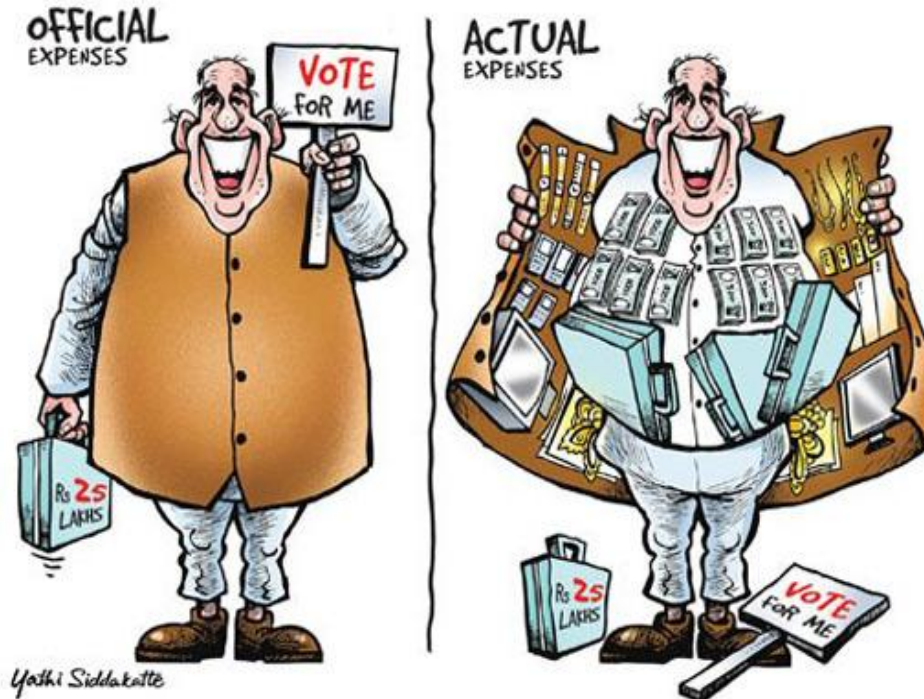


**“DEMOCRACY IS SOMETHING THAT GIVES THE WEAK THE SAME CHANCE AS STRONG”**

*Mahatma Gandhi*

**What is the limit of expenditure for a contesting candidate for parliamentary & assembly constituency in the state of Uttarakhand ?**

# NOTHING OFFICIAL ABOUT IT...



**Limit for a candidate !**

**No limit on political party expenditure !!**

*Why there is a ceiling on  
election expenditure....?*

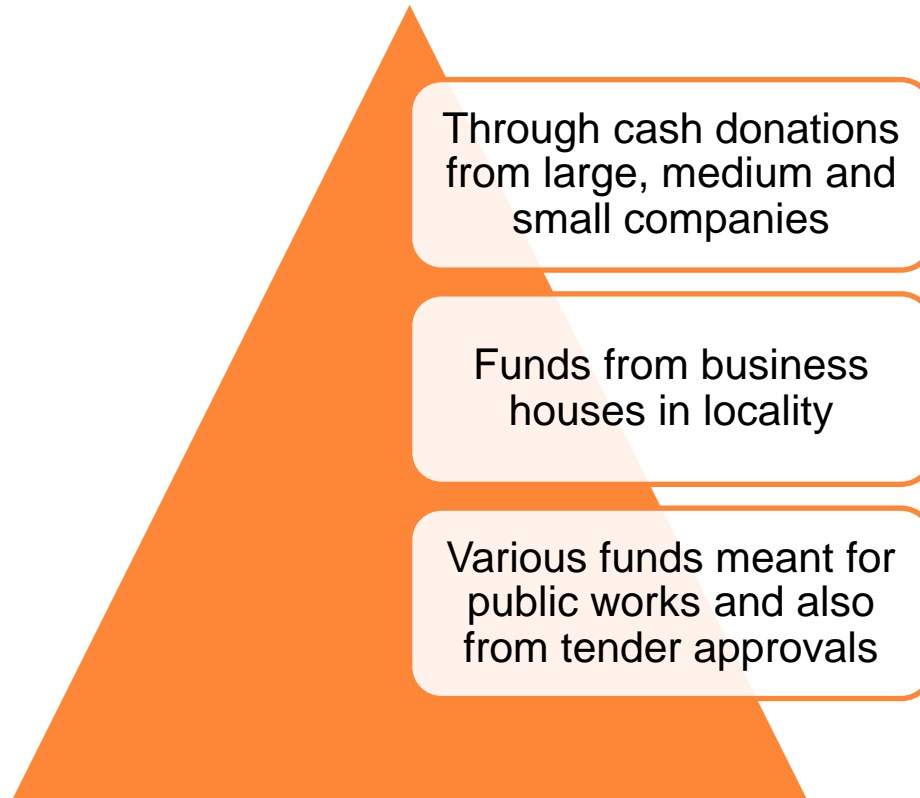
# UNCHECKED USE OF MONEY POWER

- Uneven playing field and lack of fair competition.
- Political exclusion – certain sectors face disadvantage.
- Co-opted politicians under campaign debts act as pressure group to influence policy of government
- Tainted governance and Rule of Law undermined

**Excessive, illegal & illegitimate expenditure in  
elections is the root cause of corruption.**



# WHERE ELECTION EXPENSES (LEGAL/ILLEGAL) COME FROM?



What are the various types of expenditures incurred by candidates/political parties during elections ?



Posters and banners

Wooing voters: gifts like food, clothes, trips and individual benefits

Where money goes ?

Rent-a-crowd: price ranging from Rs 100 to Rs 500

Dummy candidates: to cut into the rival's votes.



Commuting costs: Provision of petrol and diesel for campaigners

Temporary offices: On an average, Rs 10,000 to Rs 15,000 a day

Where money goes ?

Full-time party workers: Each candidate has at least 100-150 full-time party workers - paid daily ranging from Rs 200 to Rs 1,500.

Transport: To ferry voters jeep/taxi costs from Rs 6,000 to Rs 10,000 a day for meetings/rallies.



# TYPES OF ELECTION EXPENDITURE

Election expenditure can be classified into two types on the basis of legal provisions

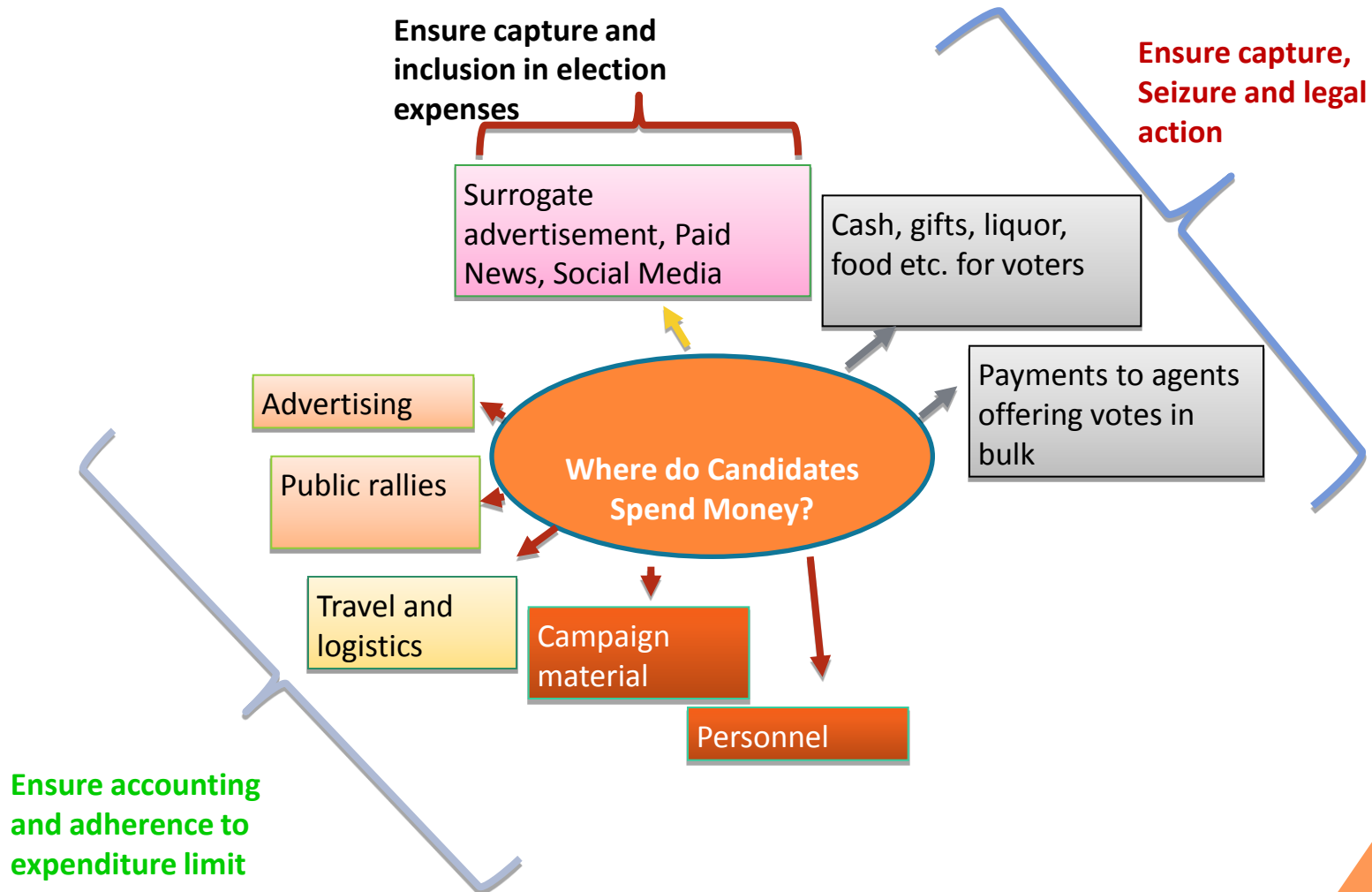
**Legal Expenditure** [public meetings, posters, banners, vehicles etc.]

**Illegal expenditure**  
[Distribution of money, gifts, liquor or any other item among electors with the purpose of influencing them]

**Ceiling**  
Rule 90 of the CE Rules, 1961  
PC- ₹95/75 lakhs  
AC- ₹40/28 lakhs

Such type of expenditure by the candidate needs to be stopped

# Expenses in elections



## **Practices that have been noticed in the past..**

1. Cash in envelopes in morning newspapers pushed beneath the door of the voter.
2. Inside Morning Milk pouch, along with cash in an envelope to the voter.
3. Through Self Help Groups (SHG) for onward distribution among women voters.
4. Through pawnbrokers by reimbursing the short term loan taken by voters, by mortgaging jewellery.
5. Paying cash as incentive for not casting vote by the committed voters of other rival candidate, if such voter shows his finger without indelible ink after election.
6. Cash given in advance before notification of election to the local leaders for distribution among voters.



7. Cash given through community feasts under the plate or banana leaf.
8. Cash given in the name of MGNREGA, and other government projects.
9. Cash given to dummy candidates for using the permission obtained by them for the campaign vehicles or political agents.
10. Cash given to certain non-serious persons of a locality to contest to divide votes of rivals.

11. Cash given to Leaders of rival political parties, rival candidates not to seriously campaign in elections.
12. Black Money raised by party/candidate in name of coupon sale.
13. Cash given to the polling agents of rivals candidates, to be silent, during counting.
14. Cash given to village headman for ensuring votes.
15. Cash given to village fund on the eve of election for construction of road or temple or school, etc.



16. Distributing Cash among the Ladies who come for “Aarti” to candidate.
17. Distributing Cash for those who come to attend public rally arranged by party or candidate.
18. Making Cash payment for the vehicles or Trucks for ferrying the voters to the polling booth.
19. Cash given to journalists or media men to write positively about the candidate or to write pessimistic news of rivals.
20. Cash given to journalists/media men to blackout the news about the rivals or to publish negative news.

21. Cash transferred through RTGS route of banks to the a/c's.
22. Cash given to the youth clubs on the eve of elections for organizing cricket match, football match.
23. Cash given for charity like organizing medical camp, melody party, theatres etc., on the eve of elections.
24. Distributing TVs, video recorders & projectors to village clubs.
25. Giving cash for constructing toilets, tubewells or mobile phone with top up cards or laptops to the voters or local leaders.



26. Organizing mass marriage functions during election process and bearing the entire cost of marriage.
27. Distributing SUVs or Luxurious vehicles to appease local party leaders.
28. Reimbursing fuel bills through negotiated deals with petrol pumps.
29. Promising jobs for the unemployed youth in the academic institutes or companies of the candidate.
30. Organizing religious functions like “Prabachan” Ramayan” “Hanuman Chalisha” etc. before elections.

31. Distribution free books to the students, just before elections.
32. Free admission to children of influential voters of a locality in Engineering College or medical college run by the candidate.
33. Distributing free cows or buffalos among voters before election.
34. Distributing free agriculture seeds and manures among the voters.
35. Distributing free solar lamps among the rural voters.



36. Distributing diaries, calendars, purse, T-shirt, sarees and vanity bags.
37. Using 'Aarthiyas' (commission agents) for distribution of cash among farmers or waiving his commission demanded from the farmers.
38. Cash given to religious leaders or leaders of a caste for ensuring votes of their followers.
39. Distribution of liquor, drugs, poppy husks among the voters.
40. Organizing rallies with film stars, musicians, orchestra, important personalities in aircrafts or helicopters to the constituency and not showing the correct expenditure.

# Modes of Transport of Cash

- In ambulance vans



- In bonnet of the car



- Inside door panels, wheels of the car



- On roof top of buses



- In police vans



- In Helicopters



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## Gainers & Losers

Tue Nov 28 2023 15:52:16

**TOP GAINERS** TOP LOSERS

Tata Motors share price 697.6  
3.56%

Bajaj Finserve share price 1,654.55  
2.32%

Ultratech Cement share price 8,725.4  
2.11%

Bajaj Finance share price 7,126.15  
1.49%

NTPC share price 257.6  
1.46%

Track your investments

Business News / Elections / EC seizes over ₹1760 crore from poll-bound states; Rajasthan, T...

## EC seizes over ₹1760 crore from poll-bound states; Rajasthan, Telangana lead list

1 min read • 20 Nov 2023, 02:53 PM IST

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*The Election Commission of India has reported seizures of over ₹1760 crores in the five poll-bound states, surpassing the seizures made in the 2018 Assembly elections.*



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Tue Nov 28 2023 15:58:50

Indian Oil Corporation share price	108.12 4.61%
Adani Power share price	445.8 12.32%
Tata Power share price	270.75 4.88%
Adani Ports & Special Econ...	837.8 5.2%
Adani Enterprises share price	2,423.7 8.66%

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“Seizures over ₹1760 crores have been reported in the five poll going states since announcement of the elections, which is more than 7 times (Rs. 239.15 Cr) the seizures made in previous Assembly Elections in these States in 2018...It may be recalled that seizures over ₹1400 crores were done in the past six state assembly elections held in Gujarat, HP, Nagaland, Meghalaya, Tripura and Karnataka which is 11 times of the previous assembly elections in these states," the poll body wrote in an official release.

Latest

As per reports received from poll-going States, following is the seizure after announcement of elections and as on 20.11.2023 \*.

State	Cash (Rs. Cr)	Liquor (Rs. Cr)	Drugs (Rs Cr)	Precious Metals (Rs. Crore)	Freebies and other items (Rs Crore)	Total (Rs. Crore)
Chhattisgarh	20.77	2.16	4.55	22.76	26.68	76.9
Madhya Pradesh	33.72	69.85	15.53	84.1	120.53	323.7
Mizoram	0	4.67	29.82	0	15.16	49.6
Rajasthan	93.17	51.29	91.71	73.36	341.24	650.7
Telangana	225.23	86.82	103.74	191.02	52.41	659.2
<b>Total (Rs cr)</b>	<b>372.9</b>	<b>214.8</b>	<b>245.3</b>	<b>371.2</b>	<b>556.02</b>	<b>~ 1760</b>
<p>An increase of 636 % as compared to seizure figures during 2018 Assembly Elections in these 5 states</p> <p style="text-align: right;">*Figures are rounded off</p>						

Seizure of over Rs. 1760 crores reported in five poll going states since announcement of elections

## Seizures in 2022- (Figures in Rs. Cr)

States	Cash	Liquor	Narcotics	Pr. Metals	Freebies	Total
Uttar Pradesh	106.71	63.88	48.92	41.57	95.94	357.02
Uttarakhand	4.37	4.75	5.39	4.00	0.3	18.81
Manipur	6.14	0.73	143.78	12.17	7.42	170.24
Punjab	33.79	36.79	376.19	60.54	3.6	510.91
Goa	6.66	3.57	1.28	0.02	1.2	12.73

# OBJECTIVES OF EXPENDITURE MONITORING

**To conduct free, fair, transparent and peaceful elections by:**

- Monitoring use/misuse of money and muscle power
- Legal expenditure within the permissible limit
- Maintaining a truthful account
- Level playing field for all stake holders

# HOW TO DO IT....

- Knowledge of Legal Provisions
- Understanding roles of various authorities
- Put in place EEM Mechanism
- Monitoring of Expenditure/Accounts
- Strict adherence to ECI Instructions

# LEGAL PROVISIONS



**Representation of  
the People Act,  
1951**



**Conduct of  
Elections Rules,  
1961**



**Instructions of  
the ECI**



**Indian Penal  
Code, 1860**



**Income Tax Act,  
1961**

# LEGAL PROVISIONS

**Sec 10A:  
Disqualification for  
failure to lodge  
account of election  
expenses**

- Failing to lodge an account of election expenses within time and manner required or has no good reason or justification for the failure
- Disqualified for a period of three years

**Sec 77: Account of  
Election Expenses  
and maximum  
thereof**

- Candidate/Election agent shall keep an separate, correct account of election expenditure incurred/authorized between date of nomination & declaration of results. Total of said expenditure will not exceed as prescribed under 77 (3) read with rule 90.

**Sec 78 : Lodging of  
account with District  
Election Officer**

- Within 30 days *from the date of election*, contesting candidate should lodge with DEO “**true copy**” of election expenses kept by him/election agent

RP ACT, 1951



# LEGAL PROVISIONS

RP ACT, 1951

## section 123 (6)

- Incurring or authorizing expenditure in excess of limits prescribed under 77 (3) is a corrupt practice

## Section 123

- **Corrupt practices**
- **Bribery, undue influence, appeal on ground of religion, race, caste, community or language, promote feeling of enmity or hatred between classes, publication of false statement, hiring of vehicles for voters, booth capturing etc**



# LEGAL PROVISIONS



## RP ACT, 1951

### **Sec 127A: Restrictions on the printing of pamphlets , posters, etc.**

- Election pamphlet/poster which does not bear the name & addresses of the printer /publisher shall not be printed
- Declaration as to the identity of the publisher is to be sent to the printer signed by publisher and attested by 2 persons personally known to him
- Copy of the declaration and the document should be sent by the printer to the CEO/District Magistrate as per location
- Imprisonment upto 6 months /fine up to Rs. 2000/ both

# LEGAL PROVISIONS

Conduct of  
Election Rules,  
1961

Rule 85  
B: Form  
of  
Contributi  
ons  
Report

- Report for any financial year to be submitted by treasurer of party in Form 24A to the ECI, but before due date for furnishing return of income for that year.



# LEGAL PROVISIONS

Conduct of  
Election Rules,  
1961

## Rule 86: Particulars of account of election expenses

- Account of election expenses to be kept by candidate/election agent to contain following items w.r.t daily expenditure:
  - Date of expenditure incurred/authorized
  - Nature of expenditure
  - Amount of expenditure – amount paid and amount outstanding
  - Date of payment
  - Name and address of payee
  - Serial no. of voucher in case already paid
  - Serial number of bills in case of payment outstanding
  - Name and address of person to whom amt. outstanding is payable
- All vouchers to lodged along with election expense account chronologically and serially numbered

# LEGAL PROVISIONS

Conduct of  
Election Rules,  
1961

**Rule 87:  
Notice by  
[DEO] for  
inspection of  
accounts**

- Within two days of receipt of accounts, DEO to affix a notice specifying
- date on which accounts were lodged,
- name of candidate and
- time and place where accounts can be inspected

**Rule 88:  
Inspection of  
accounts and  
obtaining  
copies thereof**

- Any person can inspect accounts lodged by candidates on payment of Re.1 fee
- Entitled to obtain attested copies of accounts/any part of such account lodged on payment of fee as fixed by ECI

# LEGAL PROVISIONS

Conduct of  
Election  
Rules, 1961

**Rule 89:**  
**Report**  
**by the**  
**[DEO] as**  
**to the**  
**lodging**  
**of**  
**account**  
**of**  
**election**  
**expenses**  
**and the**  
**decision**  
**of the**  
**ECI**  
**thereon**

- (1) As specified regarding lodging of account, DEO to send report to ECI specifying:
  - Whether candidate has lodged account of expenses and if so, the date on which it was lodged
  - If account lodged in time and in the manner
- (2) If not lodged in time and manner, attaching the account of expenses and vouchers
- (3) Publish copy of same on notice board
- (4) If accounts not lodged in time or in right manner, ECI issues show cause notice to candidate under Sec 10A of R.P.Act, 1951
- (5) Candidate to send response to notice within 20 days to ECI and copy to DEO
- (6) DEO to forward the copy to ECI with comments, if any, within 5 days of receipt
- (7) If ECI finds no valid justification in candidate's representation, shall disqualify for 3 years from date of order and publish in Official Gazette

# Provisions of the Indian Penal Code, 1860

## 171 B – Bribery

- Any person **giving** or **accepting** gratification to induce a person to exercise his electoral right would commit the offence of bribery.
- Gratification – Offering gratification, **agreeing** to give gratification, **attempting** to procure gratification shall be deemed as giving gratification.

## 171 E – Punishment for bribery

- one year imprisonment or fine or both.

## 171 C – Undue influence at elections

- Any Act which voluntarily interferes or attempts to interfere with the free exercise of any electoral right.

## 171 F – Punishment for undue influence at elections

- one year imprisonment or fine or both.

# PROVISIONS OF THE INDIAN PENAL CODE, 1860

## **171 H – Illegal payments in connection with an election**

- Expenditure done by any person/ organization for a candidate without his written permission would be considered illegal whether the expenditure is in relation to a public meeting, advertisements, publication or in any other way.

## **171 I – Failure to keep election accounts**

- If the candidate does not maintain an account of his election expenditure in the manner specified by ECI

## **Punishment for not maintaining accounts**

- A fine up to rupees 500 for both above.

# CASE LAWS

## Common Cause Vs UOI & Ors.

(1996 SC 3081) lodging of expenditure accounts by the political party- within 75/90 days of completion of Assembly/Lok Sabha election (ECI letter no. 76/EE/2012-PPEMS, dated 21.01.2013)

## Kanwar Lal Gupta Vs Amar Nath Chawla

(AIR 1975 SC 308) is guiding principle to distinguish between the expenditure of a party and the candidate of that party.

# CASE LAWS

- The Hon'ble Supreme Court in **L. R. Shivaramagowde Vs. T.M. Chandrashekhar** - AIR 1999 SC 252 has observed that the Election Commission of India Can go into the correctness of the account of election expenses filed by the candidate, and Disqualify a candidate under section 10 A of the Representation of the People Act, 1951 in case the account is found to be incorrect or untrue.
- **Grounds:**
  1. The candidate **does not lodge** an account of election expenses **in time and manner**.
  2. The account lodged by the candidate is **incorrect and untrue**.

# ROLE OF DEO/RO



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# ROLE OF DEO

## ➤ Meeting with political parties:

- Within 3 days of announcement of election for explaining all legal provisions and instruction of commission.
- Copy of compendium and related instructions to be given
- Rates of various materials to be discussed & their suggestions to be taken before notification.



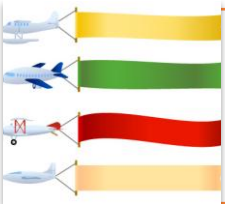
# RATES OF ITEMS TO BE FIXED AFTER CONSULTATION WITH POLITICAL PARTIES:



**Loudspeaker with amplifier and microphone**



**podium or Pandal of standard sizes,**



**Cloth banner, Cloth flags, Hand bills, Posters,**



**Hoardings, Cut outs (wooden), Cut outs (Cloth/plastic),**



**Video Cassettes/CDs, Audio Cassettes/CDs,**



**Daily hiring charges of vehicles (all types of vehicles),**



**chairs, tables and other furniture, electrical fittings/equipments,**



**Print media advertisements and DAVP rates**



**Audio slots on Radio & AV on TV, cable TV, State & National Level TV Channels**



**Any other item commonly used in a district.**

# ROLE OF DEO

- **Notification of rates for assessment of expenditure.**
  - Any objection on such rates has to be made to DEO within 24 hours of notification.
  - Rate list is to be given to candidates by RO at the time of filing of nomination.
  - If the rate of any item is not available in the list, the candidate/election agent, if they wish, may apply with the DEOs to notify the rates for such items as well and the DEOs will take appropriate steps to notify them.
  - To obtain airing charges from media houses with reference to campaigning.



# ROLE OF DEO

## ➤ **Two Training programs**

- The DEO will arrange two facilitation trainings at his own office for all election agents/candidates through the Exp. Monitoring cell.
- One, immediately on the day of scrutiny of nomination in presence of Expenditure Observers to acquaint them with the legal provisions, procedures for filling up the forms and registers and dates of inspection of accounts.
- Second, within a week before the final date of submission of accounts in presence of Asst. Exp. Observers ( i.e., after 20 days of declaration of results.)

## ➤ **Account reconciliation meeting**

- Account reconciliation meeting on 26<sup>th</sup> day of result.
- Candidate will file account within 30<sup>th</sup> day of result.
- Scrutiny reports by 37<sup>th</sup> day and submit on 38<sup>th</sup> day to commission.

# ROLE OF DEO

- Under Rule 89 of the Conduct of Elections Rules, 1961, the District Election Officer has to report to the Commission whether the candidate has lodged his account of elections expenses, and whether in his opinion such account has been lodged within time and in the manner required by the Act and the Rules.

# ROLE OF DEO

## ➤ Awareness

- Appeal to public to avoid carrying huge amount of cash during election process
- Wide publicity about the 24X7 - Call centre and District Complaint monitoring Cell
- Involve NYKs, NSS and other citizen forums for ethical voting and information about unethical means, if any
- Training of the manpower engaged in Expenditure monitoring teams and election agents



# ROLE OF RO (BEFORE ANNOUNCEMENT)

- ✓ To have the updated list of all the disqualified candidates,
- ✓ To ensure that the following formats are ready at the o/o the RO:
  - I. Election Expenditure Register of the candidates (duly serial numbered comprising of Bank Register, Cash Register, Day to Day Account Register, Abstract Statement (Part I to Part IV) along with Schedules 1 to 11, Format of Affidavit and Acknowledgement.
  - II. Shadow Observation Register
  - III. Video Cue Sheet
  - IV. Reporting formats by Flying Squad/Static Surveillance Team
  - V. Compendium of Election Expenditure guidelines in local language.
  - VI. Form 26 regarding criminal cases, assets and liabilities.

## ROLE OF RO (BEFORE ANNOUNCEMENT)

- ✓ To identify Expenditure Sensitive Pockets (ESPs).
- ✓ To interact with BAGs and sensitize them about their role in ethical voting campaign and provide information on malpractices during elections.
- ✓ Logistics and manpower (vehicles, computers, Magistrates, master trainers, helping staff etc.)
- ✓ To ensure that FS, VST, VVT, MCMC and Accounting team are functional from the date of announcement of election. **(After announcement)**

## ROLE OF RO (AFTER NOTIFICATION)

- ✓ Meeting with candidates after allotment of symbols to explain the process of expenditure monitoring and legal provisions & giving compendium and notifications of rates
- ✓ Giving expenditure registers to candidates at the time of filing nominations.
- ✓ Take note of the list of Star Campaigners received by the CEO and ECI within 7 days of notification of election.
- ✓ Issues permission letters for vehicles/public meetings etc.

## ROLE OF RO

- Making SSTs functional from the date of issue of notification.
- To ensure that GPRS is fitted in all FS/SST vehicles and the Flying Squads shall attend both the MCC cases and expenditure related cases within  $\frac{1}{2}$  an hour of receipt of complaint.
- To file FIR in appropriate cases, as detected by FS, SST or EO.

# ROLE OF RO

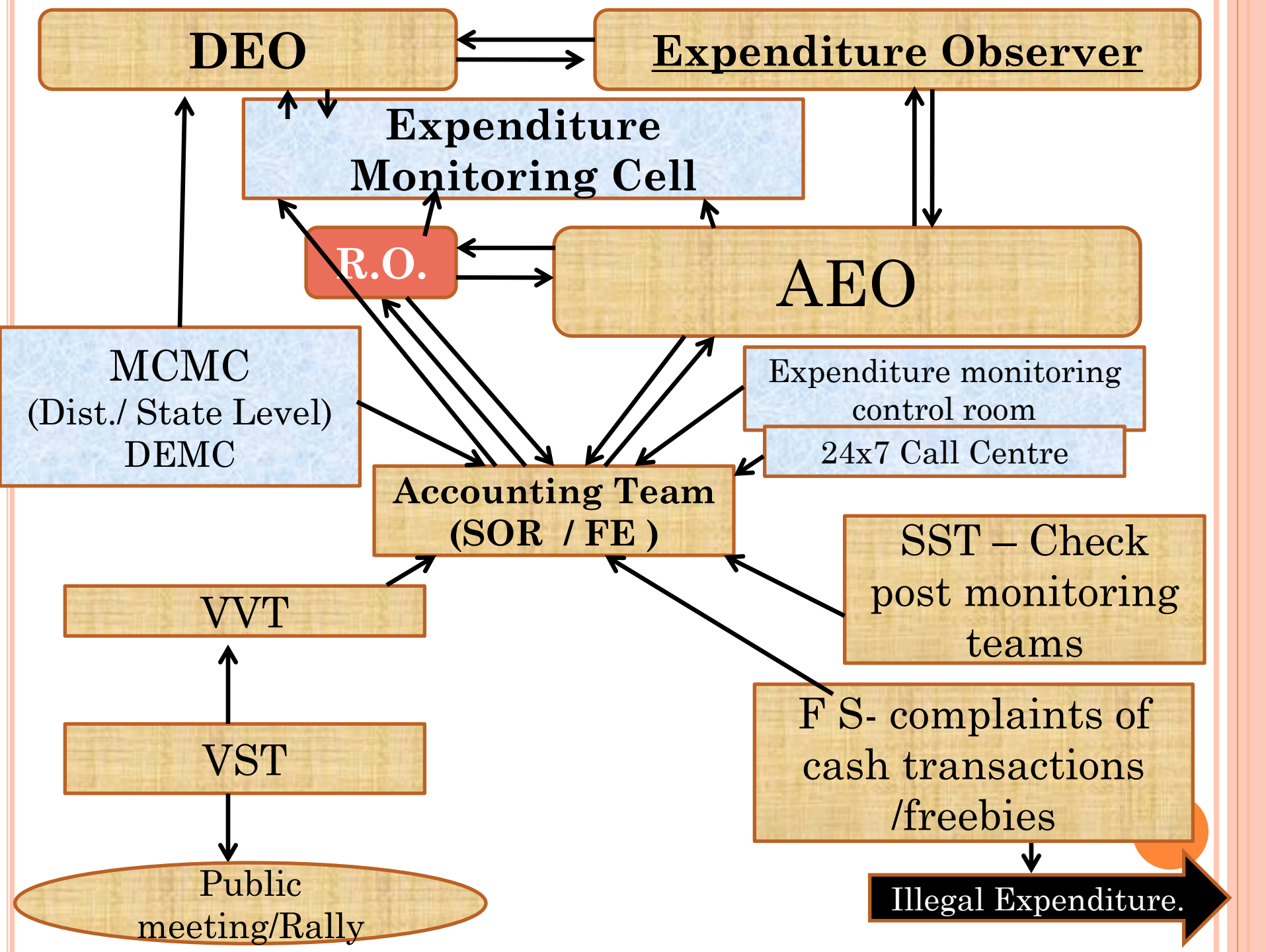
- Notification of dates of inspection in consultation with the Expenditure Observer.
- At least three times during the campaign period. The gap between two inspections should be at least three days.
- The last inspection should be fixed not before 3 days from the day of poll
- To issue notices to defaulting candidates.

A blurred black silhouette of a person with their arms raised in a celebratory or triumphant gesture, set against a light gray background. The person's arms are spread wide, and their legs are also spread apart, suggesting a wide stance.

# **Mechanism for Election Expenditure Monitoring**

# EXPENDITURE MONITORING MACHINERY

- **Expenditure Observer (EO)**
- **Asstt. Expenditure Observer (AEO)**
- **Flying Squads and Static Surveillance Teams (FS and SST)**
- **Video Surveillance Teams (VST)**
- **Video Viewing Team (VVT)**
- **Accounting Team**
- **Media Certification and Monitoring Committee (MCMC)**
- **District Expenditure Monitoring Committee (DEMC)**
- **Excise Teams**



# ROLE OF EO/AEO



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# EXPENDITURE OBSERVER (EO)

## To be appointed by the Commission.

- For overall supervision of expenditure monitoring for two or more Assembly segments.
- Will train the Asst. Expenditure Observers and inspect functioning of different teams
- Will coordinate with various law enforcement agencies of Income tax, Police, BSF/SSB, State Excise and DRI
- Will assist the DEO in preparation of scrutiny report
- Liaison officer and one data entry operator may be appointed for EO. Computer with colored printer and internet connectivity, STD phone at the place of his stay.

# Visits of Expenditure Observer

- 1<sup>st</sup> Visit-To reach the constituency on the day of notification of elections for 3 days.
  - arrival and departure report within 24 hours (annexure-B1)
  - submit the poll preparedness report-I, (annexure-B2)
- 2<sup>nd</sup> Visit on date immediately after the date of withdrawal of candidature and remain in the constituency during entire campaign period and leave the constituency only after the completion of poll
  - second Report, (annexure B3 ) after 24 hours of withdrawal of candidates
  - third report (annexure B4) after poll



# VISITS OF EXPENDITURE OBSERVER

- 3<sup>rd</sup> visit on 25<sup>th</sup> day after the date of declaration of results & stay for 8 days to assist in finalisation of scrutiny report prepared by DEO.  
-4<sup>th</sup> report in annexure-B5 (final)
- EO inspect the expenditure registers at least 3 times during campaign. Gap between two inspections should not be less than 3 days and the last inspection should be fixed not earlier than 3 days from the poll day.

# OTHER TASKS OF EO

- Inspections of accounts (3 times)
- Furnish feedback/status report on deployment of various election expenditure monitoring teams, seizure, strategy for the last 72 hours before poll for video conference with the commission in prescribed proforma (annexureB6)
- Inform MCMC of all instances of paid news brought to his notice by any source.

# INSPECTION OF ACCOUNTS BY EO

- Candidate can have a separate agent for election expenses.
- Inspection to be done in the office chamber of RO or any other Office room NOT AT THE GUEST HOUSE between 10 AM to 5 PM.
- Publicity has to be given about the contact number of EO and time of inspection.



# ASSISTANT EXPENDITURE OBSERVER

- From Income Tax, Central Excise, Audit and Accounts or Central PSUs - of the rank Group 'B' Central Govt. Officers.
- Appointed by DEO from the date of notification of election.
- Assist EO and Assist DEO in his scrutiny report
- Will help in maintaining the SOR and folder of evidence
- To be provided with vehicle, PSO, local sim card and office space in RO office.



## ASSISTANT EXPENDITURE OBSERVER

- Assist EO in inspections.
- Supervises and ensure all complaints are addressed w.r.t election expenditure by candidates in his jurisdiction
- Transfer complaints to FS and action taken report to EO
- Coordinate with MCMC.
- Submit daily report to EO.
- Assist in accounts reconciliation meeting

# REGULATORY MECHANISM TEAMS

- ❖ VIDEO SURVIELLANCE TEAMS
- ❖ VIDEO VIEWING TEAMS
- ❖ ACCOUNTING TEAM
- ❖ SOR & FOLDER OF EVIDENCE
- ❖ FLYING SQUADS
- ❖ STATIC SURVIELLANCE TEAMS
- ❖ MCMC
- ❖ EXCISE TEAM

# VIDEO SURVEILLANCE TEAM



## Video Surveillance Team (VST)

- Minimum One official, one videographer and one vehicle from announcement date.
- Can be more than 1 team at a public meeting
- Properly trained to identify and capture MCC and expenditure related events in adequate minute details as required
- At the **beginning of shooting, the team will record in voice mode the title and type of event , date, place and the name of the party or the candidate** organizing the same.
- It will capture the photo in such a way that the **evidence of each vehicle, furniture, rostrum, banner, cutout etc.** can be seen clearly and the expense thereon can be estimated
- At the end of shooting, the team may also **record in voice mode the estimated number and type of vehicles, Chairs, furniture, approx size of** rostrum/banner/poster/cutout etc. used in the event.
- This team will prepare a **video cue-sheet** in the format given at Annexure- B 15 of the instructions.

# VIDEO VIEWING TEAM

## Video Viewing Team (VVT)

- 1 officer and 2 clerks (or as required) for each assembly segment, as viewing all CD's is a time consuming task,
- View Video CD provided by VST for identifying election expenditure and MCC related issues
- Submit report containing **candidate wise expenditure** no later than next day to Accounting Team/ Asst. EO, **MCC related report to General Observer and RO.**

# ACCOUNTING TEAM

## Accounting Team

- One official and one accounting clerk per segment
- From employees of **accounts wing of Govt. depts.**
- **Work under Asst. EO, involved in preparation of Shadow Observation Register and maintaining videos/ CD evidences carefully in Evidence Folder. To maintain proper back-up which can be called by Commission later.**
- Will enter expenditure incurred by the candidate on major expenses and corresponding notified rates against each item (major public meetings/rallies) in the Shadow Observation Register, **reported by various teams and calculate the total expenditure of the event observed for each candidate.**

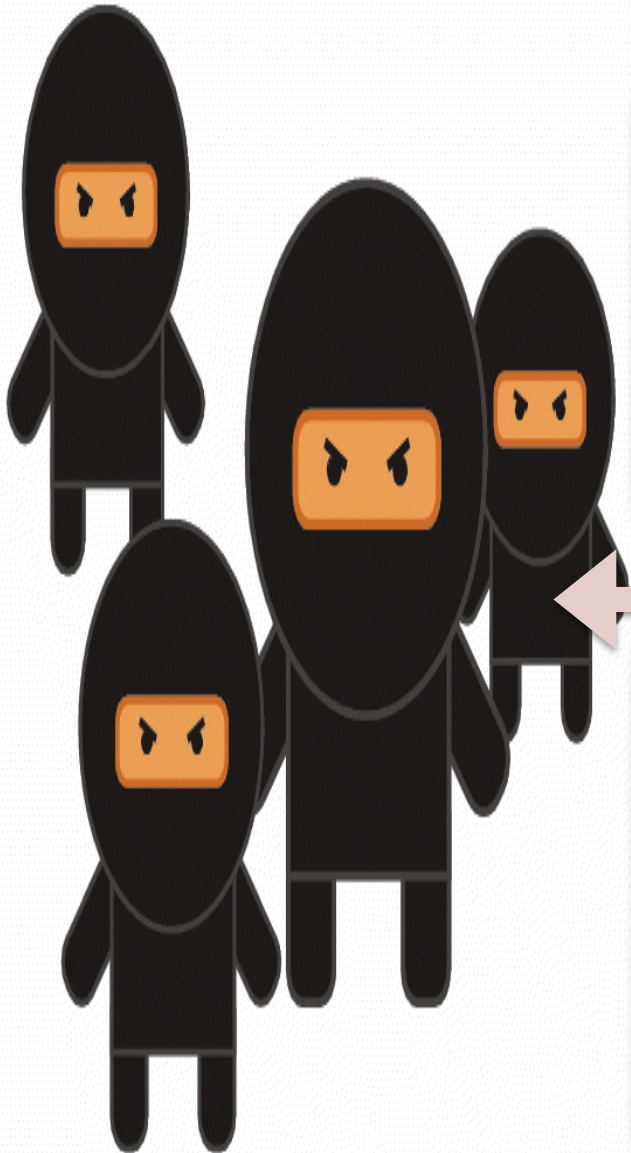
# ACCOUNTING TEAM

- Personal vehicles of Public are not to be included in expenditure.
- Vehicles having flags, banners are included for booking expenditure.
- Commercial vehicles to be included.
- Personal vehicle of candidate- fuel and driver salary to be included.
- Flags, mufflers, caps shall be counted.
- Transportation cost of district level party office bearer not included within district for electioneering within ac of districts.

# FLYING SQUADS

- Three or more FS per AC for tracking of illegal cash, liquor or any other item suspected to be used for bribing the voter. And MCC violations.
- One senior executing magistrate, one senior police officer, one videographer and 3 to 4 armed police personnel.
- To be provided a dedicated vehicle, mobile phone, video camera and necessary panchnama documents required for seizure of cash or goods.
- From the date of announcement of elections.

# FLYING SQUADS



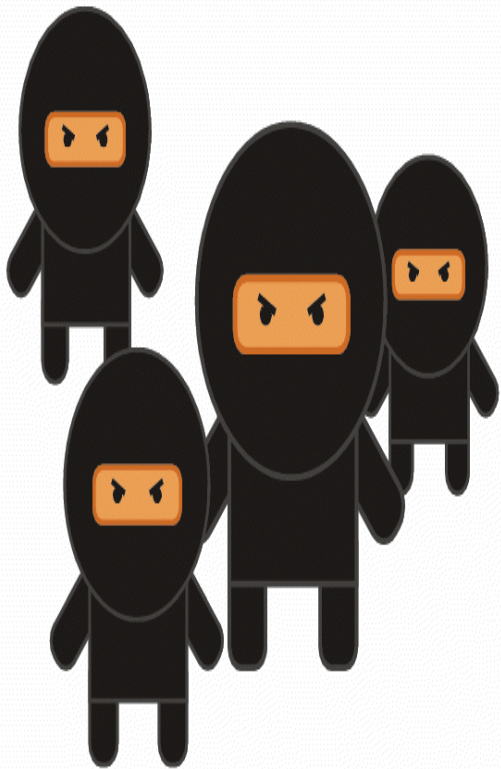
**FS- to attend to all complaints related to election expenditure & MCC**

**Receive complaints forwarded by Distt. Complaint Monitoring Cell or forwarded from CEO Office**

**Take immediate action on receipt of complaints which will provide complete contact details of complainant**

**Wherever not possible to reach within  $\frac{1}{2}$  hour, send SST or local SHO and monitor action taken by the officials**

# FLYING SQUADS



**All action  
taken by  
FS/SST/Local  
SHO to be  
video graphed**

**Complainant  
to be  
contacted in  
case of  
clarification**

**FS- report  
action taken  
to District  
Control  
Room/ Call  
Centre**

**Forward  
Daily Report  
(in Ann.  
prescribed)  
to SP & DEO  
and copy to  
AEO**

# STATIC SURVEILLANCE TEAMS (SST)

- Three or more SSTs in each AC with one magistrate, 3-4 Police personnel and one videographer.
- From the date of notification at target locations.
- **To put the check post on major road/arterial road to check movement of illegal cash/liquor/arms or gift items**
- **Surprise element. Flying Squad of the district will supervise the posting of the Static Team/Check Post so as to avoid any harassment of public.**



# STATIC SURVEILLANCE TEAMS (SST)

- Videography is must and the DVD handed over to Accounting Team, for keeping in `folder of evidence`.
- Any member of public may ask and take copy the Video DVD/CD for a particular day by depositing Rs. 300/-.
- **Daily Activity report** in prescribed format (**Annexure-9**) to SP, RO and Assistant Expenditure Observer
- Nodal officer of Police HQ o compile and submit report to CEO and Commission
- EO to have **coordination meeting** with all law enforcement agencies of district and the teams.



# SOP FOR SQUADS

- During checking by SSTs, if any cash exceeding Rs.50,000 is found in a vehicle carrying a candidate, or his agent or party worker or carrying posters or election materials or any drugs, liquor, arms or gift items(valued more than Rs. 10,000) which are likely to be used for inducement of electors or any other illicit articles are found in a vehicle, **the same shall be subject to seizure.** Whole event of checking/seizure to be video graphed by video team submitting CD to R.O.,
- An Appeal is to be made by DEO and CEO in local language through Flying Squads / media regarding the enforcement measures & advising the public and political functionaries not to carry huge cash during election process.

# Take Utmost care

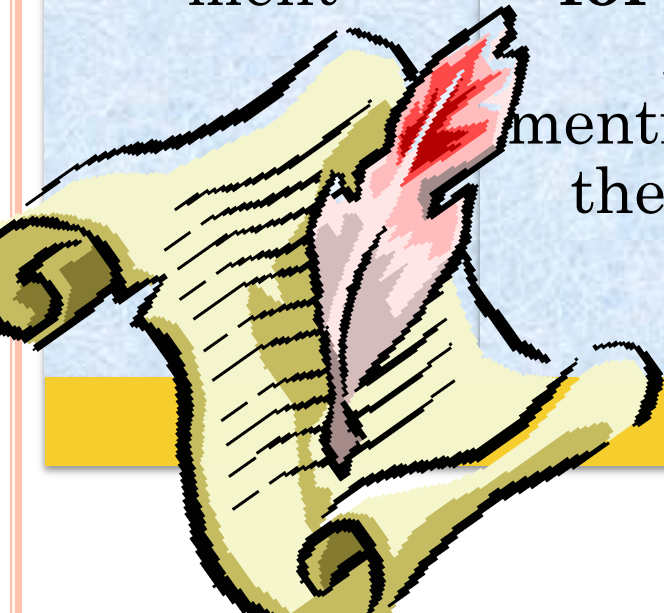
to ensure all politeness, decency and courtesy

Seizure has to be done with proper Panchnama/Acknowledgement

The **authority whom to appeal and procedure for appeal** must be mentioned in the seizure order

**FIR to be lodged** and also put in notice board of RO.

Copy forwarded to SP, DEO, Exp. Observer, Nodal Officer of Police and Police Observer (If any)



# EXPENDITURE MONITORING CELL

- ❖ Nodal Officer on behalf of DEO, of the rank of SDM/ADM.
- ❖ Will keep liaison with all nodal officers of income tax, excise and police.
- ❖ Video graph all rallies/campaign by political parties during announcement of elections and notification of election, calculate expenditure and hand over to DEO.



# EXPENDITURE MONITORING CELL

- Will keep custody of all shadow observation registers and folders of evidence after the poll
- Nodal Officer of Expenditure Monitoring Cell shall act as the link between the DEO and the EO
- EMC will assist the DEO and Expenditure Observer in finalizing the scrutiny report to be submitted after declaration of result.
- To provide man power, trainings and logistical support
- First training of the Asstt. Expenditure Observers (AEO's) and all the officials engaged in various teams should be done by these Nodal Officer of Expenditure Monitoring Cell in the District as soon as the elections are announced,



# DISTRICT COMPLAINT MONITORING CELL

- To work 24\*7
- Complaints received to be recorded and monitored
- To be forwarded immediately and action taken to be recorded
- Public can take copies @ Re 1/- per page



# SOP FOR CHECKING AIRCRAFT/HELICOPTER

- During election process proper frisking and pre embarkation, checking of all persons, screening/ phy. Checking of baggage to be done
- CISF to inform the Income Tax dept. if cash above 10 lacs/ bullion more than 1 kg is detected in poll bound states
- No prior permission for landing/ takeoff at commercial airports from DEO. But ATC to keep records of all such pvt. Aircrafts/ helicopters and make available such info to the CEO within 3 days of landing or takeoff. The CEO to share this info. With EO for accounting purpose.

# APPEAL AGAINST SEIZURE

- IF SOMEONE IS AGGRIEVED REGARDING SEIZURE HE MAY APPEAL TO DISTRICT LEVEL COMMITTEE WHICH COMPRISES
  - (i) CEO, Zila Parishad/CDO/P.D,DRDA
  - (ii) Nodal Officer of Expenditure Monitoring in the District Election Office (Convenor) and
  - (iii) District Treasury Officer.

# MONITORING OF CAMPAIGN THROUGH MEDIA:MCMC

## Print Media

Local Newspapers

Other newspapers in circulation in area

Magazines

Pamphlets & Posters



## Electronic Media

Radio including Private FM

TV channels, cable network

Bulk SMS



# MEDIA CERTIFICATION & MONITORING COMMITTEE

- ❖ Certifying of advt. proposals of candidates (electronic media precertification including social media sites)
- ❖ Scanning media for suspected **'paid news'** and examination of complaints, reference by EO
- ❖ Infrastructure – in separate room - 3-4 TVs with cable, all newspapers including local.
- ❖ submit daily report to accounting team with a copy to RO and EO.
- ❖ CEO will obtain standard rate cards from TV channels/radio channels/news papers/broadcasting circulated in the state 6 months before due date of expiry of assembly

# PAID NEWS

- Any news or analysis appearing in any media –
- Print and electronic
- For a price, in cash or in kind, as a consideration
- Instruction was issued after consensus of political parties and their request(2011)

# EXCISE TEAM

- From the date of announcement of election till completion of election
- The production, off take, stock limits of stockiest and retailers are to be monitored district wise.
- Opening and closing of liquor vending shops are to be monitored closely
- Intensive vigil over inter state movement of vehicles at dist check-posts and border check-posts by special enforcement staff of Excise Dept.;
- To conduct raids to seize illicit liquor;
- Inter state coordination of Excise Commissioners of the bordering states;
- District level Nodal Officer to submit report every alternate day to DEO, Exp. Observer and State Level Nodal Officer, who will compile the state level report to Commission and CEO;
- All distilleries/ warehouses shall be put under 24x7 CCTV monitoring with police guard so that no liquor is released without license.

# **Maintenance of Expenditure Accounts & Inspection**

# SEPARATE BANK ACCOUNT

- At least one day prior to filing of his nomination papers.
- In joint name of the candidate and his election agent, anywhere in the state.
- Not with spouse or other relatives, if they are not the election agent of the candidate.
- Bank, co-operative banks and Post Office.
- No. of bank account to be given to the RO in writing.
- Election expenses of the candidate to be met from this bank account. The candidate shall also submit a certified copy of the account statement after the poll.



# REGISTERS TO BE MAINTAINED BY CANDIDATES

- Register for maintenance of day to day a/c's of election expenses by contesting candidates ( Refer annexure-14 of the instructions : paged and signed by RO on 1<sup>st</sup> and last page)
- DAILY REGISTER: Part-a (color: white pages)
- CASH REGISTER: Part-b (color: pink pages)
- BANK REGISTER: Part-c (color: yellow pages)

**Annexure-E1**

**(Part A)**

**Register for Maintenance of Day to Day Accounts of Election Expenditure by  
Contesting Candidates**

Name of the Candidate:

Name of Political Party, if any:

Constituency from which contested:

Date of Declaration of Result:

Name and address of Election Agent:

Total expenditure incurred / authorized:

(From the date of nomination to the date of declaration of result of election, both dates inclusive)

1.	2.			3.	4.	5.	6.	7.	8.	9.
Date of expenditure/ event	Nature of expenditure			Total Amount in Rupees (paid + outstanding)	Name and address of payee	Bill No. / voucher No. and date	Amount incurred/ authorized by candidate or his election agent	Amount incurred/ authorized by political party and name of political party	Amount incurred/ authorized by other individual/ association/ body/any other (mention full Name and Address)	Remarks, if any
	Des crip tion	Qty.	Rate per unit							

Certified that this is a true account kept by me/my election agent under Section 77 of the Representation of the People Act, 1951 (Certificate to be furnished after the date of declaration of result).

**Signature of the candidate**

**Note :**

**(Part B)**

**Cash Register for Maintenance of Day to Day Accounts by Contesting Candidates**

Name of the Candidate:

Name of Political Party, if any :

Constituency from which contested:

Date of Declaration of Result:

Name and address of Election Agent:

(From the date of nomination to the date of declaration of result of election, both dates inclusive)

Receipt				Payment				Balance Amount	Remarks, if any
Date	Name and address of person/ party/ association/ body/any other from whom the amount received	Receipt No.	Amount	Bill No./ Voucher No. and Date	Name of payee and address	Nature of Expenditure	Amount	Places at which or person with whom the balance is kept (if cash is kept at more than one place/ persons, mention name and balance available)	Any expense mentioned in column 7 of this table and not mentioned in column 2 of table of Part-A should be clarified here.
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.

Certified that this is a true account kept by me/my election agent under Section 77 of the Representation of the People Act, 1951 (Certificate to be furnished after the date of declaration of result).

**Signature of the Candidate**

**(Part C)**

**Bank Register for Maintenance of Day to Day Accounts by Contesting Candidates**

Name of the Candidate: \_\_\_\_\_ Name of Political Party, if any : \_\_\_\_\_

Constituency from which contested: \_\_\_\_\_

Date of Declaration of Result: \_\_\_\_\_

Name and address of Election Agent: Name of the Bank: \_\_\_\_\_

Branch Address: \_\_\_\_\_

Account No. : \_\_\_\_\_

(From the date of nomination to the date of declaration of result of election, both dates inclusive)

Deposit				Payment				Balance	Remarks, if any
Date	Name and address of person/ party/ association / body/any other from whom the amount received/ deposited in Bank	Cash/ Cheque No., Bank name and Branch	Amount	Cheque No.	Name of Payee	Nature of Expenditure	Amount		Any expense mentioned in column 7 of this table and not mentioned in column 2 of table of Part-A should be clarified here.
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.

Certified that this is a true account kept by me/my election agent under Section 7 of the People Act, 1951 (Certificate to be furnished after the date of declaration of result)

194/345



**Signature of the Candidate**

# SHADOW OBSERVATION REGISTER (SOR) & FOLDER OF EVIDENCE

- SOR is for each Contesting Candidate in a constituency to record all expenses incurred on major events(public meetings/rallies etc.,) towards election campaign.
- All expenditure recorded to be supported by CD/Video evidences(proper referencing) held in FE and to be cross-checked during Inspections.
- Signatures of candidate/ authorized agent to be taken during inspection
- The SOR & FE to be handed to the Dy. DEO by the EO for safe custody before leaving the constituency, under receipt.

### Shadow Observation Register for Maintenance of Day to Day Accounts of Contesting Candidates by Accounting Team

Name of the Candidate:

Name of Political Party, if any:

Name of the Constituency from which the candidate is contesting:

Date of Declaration of Result:

Name and address of Election Agent, if any:

(From the date of nomination to the date of declaration of result of election, both dates inclusive)

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1	2*	3				4				5	6	7	8	9**	10	11 (Remarks, if any)
S.NO.(Event wise)	Date and Nature of Expenditure (Rally, Sabha , Campaign Vehicles, Food, Campaign Material, Advertisements/Paid News etc. ) as observed by the VST,VVT, AT/MCMC	Expenditure calculated as per notified rates (Rs.)				Expenditure declared by the Candidate in Day to Day account register maintained by the Candidate on items mentioned in column 2 (Write nil if not shown) (Rs.)				Understatement (Rs.)	Date of inspection of Candidate's Expenditure Register by the Expenditure Observer	Date of issuance of notice by the RO regarding understatement shown in Column 5	Date of receipt of reply from the candidate	Whether the candidate has accepted/ partially accepted/denied the understatement shown in Column 5	Mention page no. & S.no of Day to Day A/C Register, if understatement is accepted/partially accepted by the Candidate	Comments of the EO regarding the candidate's reply-whether the understatement of expenditure has been reconciled-Yes or No ?
		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(d-h)						
		Description	Qty.	Rate/ Unit	Total	Page no. and date	Qty.	Rate/ Unit	Total							
1.																

Dated :

Signature of the Officer of the Accounting Team

Signature of Candidate/Election Agent

Name of the Officer:

Designation:

NOTE:- \*1. Details should include CD no. &amp; Sl. No. of video cue sheet.

\*\*2. If denied or partially accepted, then the decision of the DEMC in the matter should be enclosed

# INSPECTIONS OF ACCOUNTS

- Candidates are required to produce their accounts for Inspection 3 times or more. The RO will notify 3 dates & timing well in advance in consultation with EO.
- RO will ensure that the latest Instructions of the Commission on expenditure monitoring are given to the candidates, in local language.
- All the teams should be trained as per the latest Instructions on EEM.



# INSPECTION OF ACCOUNTS

- The Accounting Team alongwith the AEO to be present with Shadow Observation Register and folder of evidence during inspections.
- The details of excess expenditure, if any found based on evidences gathered, must be recorded in writing on the register maintained by the candidate and also on the SOR/EF and signatures of candidate/Agent obtained on both. It must be counter signed by EO/AEO and RO / Accounting team head.
- Commission considers the comments of Expenditure Observer in the register at the time of disqualification proceedings.

# INSPECTION OF ACCOUNTS

- Instances of suspected Paid News will also be noted in the register,
- RO to issue notice to candidate on the same day on all such discrepancies and also on all advertisements / Paid News,
- A photocopy of the accounts maintained by the candidates should be obtained after each inspection and RO will display a copy on the notice board



# INSPECTION OF ACCOUNTS

- Notice to be issued to candidate in case of failure to produce accounts for inspection and FIR to be filed if failure continues (Sec 171-I of IPC),
- Withdrawal of permission for use of campaign vehicle, if the failure continues in spite of notice,
- Intimation of such withdrawal of permission to the Flying Squad to take the vehicle out of campaign,
- Any member of Public can obtain copy of Shadow Observation Register after inspection, notices and replies by candidates on payment of Re. 1/- per page.



# INSPECTIONS OF ACCOUNTS

- RO to issue notice within 24 hours
  - **--if expenditure incurred not shown**
  - **--if on inspection discrepancy is found**
  - **--if no information is received**
- Candidate may reply within 48 hours If candidate accepts the suppressed expense mentioned in the notice, the same shall be added to his election expenses.
- If candidate fails to produce his day to day account for inspection, in spite of the notice, and failure continues, then FIR is to filed under section 171 (I) of IPC, after 48 hours of service of such notice and the permission for use of vehicles etc. by the candidate for election campaign shall be withdrawn.



# INSPECTION OF ACCOUNTS

- No reply by candidate / his election agent within 48 hours of receipt of the notice, then suppressed amount shall be treated as final and shall be added to his election expenses.
- If candidate / his election agent disputes the suppressed expenditure, he shall submit the reply mentioning the reasons for disagreement and the same shall be forwarded to the **District Expenditure Monitoring Committee (DEMC)** consisting of the following:
  - (a) Expenditure Observer in charge of the Constituency
  - (b) DEO
  - (c) Dy. DEO/Officer in charge of Expenditure Monitoring of the District.
- DEMC to decide on the notice & reply within 72 hours of receipt of reply.



## INSPECTION OF ACCOUNTS

- After final submission of accounts, some expenditure as shown in SOR is not shown by candidate, DEO to issue notice within 24 hours
- Candidate to reply within 48 hours
- If no reply, consultation with EO, and decision to be taken and mentioned in Scrutiny Report. Notice/ Reply/ Decision to be put on the notice board
- If candidate does not file his statement of election expenses without any valid reasons within the stipulated period of 30 days from the day of declaration of result, then the DEO shall send the report to the Commission mentioning such default with his recommendation.

# Account reconciliation meeting And lodging of accounts

# ACCOUNTS RECONCILIATION MEETING

- Held on 26th day by DEO
- Opportunity to candidates to reconcile a/cs
- If already submitted, may revise to remove discrepancy

## LODGING OF ACCOUNTS OF ELECTION EXPENSES:

- Candidates are required to lodge their accounts of election expenses with the DEO within 30 days of declaration of result along with the register of election expenses (U/s. 78 of the RP Act, 1951)
- Documents required to be filed:
  1. Part I to Part IV of Abstract statement signed by the candidate ,
  2. Certified Copy of Bank statement ,
  3. Affidavit signed by the candidate
  4. Register of Day-to-day Accounts with all bills and vouchers in original duly signed,
  5. Copies of expenditure related notices issued by RO and replies to such notices,
  6. Replies to discrepancies pointed out at the time of Inspection,

## PRESCRIBED MANNER OF LODGING ACCOUNTS

- Election Expenditure Register comprising of Day to Day Account Register + Cash Register+ Bank Register + Abstract Statement (Part I to Part IV) + Bills and Vouchers (serially numbered) to be lodged with the DEO office,
- Abstract Statement ( Part I to Part IV ) as well as Affidavit annexed with to be signed by the candidate himself. (both these should be signed by the candidate himself).

## COMMON MISTAKES IN LODGING ACCOUNTS

- Bills and vouchers are not signed by the candidate or his election agent
- In case of discrepancy on any item of expenditure, pointed out by RO/Expenditure Observer/designated officer during inspection of register, the explanation along with reason for the discrepancy on such items not enclosed.
- Self certified copy of the bank statement not submitted.
- Any amount not correctly reflected by the candidate or understatement of any amount of expenditure.



**Do political parties lodge  
their account statement.....?**

# Yes.....

1. Contribution report by 30<sup>th</sup> September every year
2. Annual audited account by 31<sup>st</sup> October every year
3. Statement of election expenditure within 75 days of completion of assembly election and 90 day of completion of Lok Sabha election
4. Part election expenditure statement giving details of amount disbursed to the candidates by political parties shall be filed within 30 days of declaration of result

Other issues....

# STAR CAMPAIGNER

- ❖ Leaders of political parties whose names have been communicated to commission and CEO within 7 days of notification. (40 for recognized and 20 for registered unrecognized parties)
- ❖ Travel expenses are exempted. By air or any other mode
- ❖ Travel expense of star campaigners of allied party are not exempt if it canvases for a candidate in a rally.
- ❖ If candidate or his agent shares Dias/cutouts/posters with star campaigner entire exp to be added
- ❖ All expenses of boarding and lodging within AC are to be counted if campaigned for the candidate.

# STAR CAMPAIGNERS

- ❖ If any attendant, Security Guard, Medical attendant or any person including any member of the party not being a candidate in the constituency and not playing any role in election campaign for the candidate then travel expenses are booked against the party account.
- ❖ Information about air travel to be given to DEO 24 hours in advance to the DEO—by aircraft/helicopter
- ❖ Candidate shall inform the RO concerned within 5 days of landing about the hiring charges paid or payable for helicopter /air craft and name of the pol. Party incase hiring charges borne by it.

# PUBLIC MEETINGS/RALLIES

- Permission in Annexure 16
- Date, time, duration and location to be intimated along with expenditure plan
- Video graphy and law & order
- To be covered for booking expenses



## RALLY EXPENSES

- All public rallies and Public meetings (even before notification and after announcement) to be videographed
- Rally expense for filing nomination to be included
- Public who attend the rally in their own vehicle without receiving any payment or without any flag, banner or photo of candidate not to be included
- Commercial vehicles used for rally to be included in expense
- Notional cost of fuel and driver salary of one Personal vehicle used by the candidate to be added (Notional cost of hiring in case of more than one personal vehicle used)
- One vehicle of district level party officer ( Not being the candidate) exempted



# PRINTING PAMPHLETS, BANNERS AND POSTERS

- The print and electronic media, cable network and FM radio will be closely monitored
- Prior approval before any broadcast or telecast
- Section 127A of RP Act of 1951



# MONITORING OF VEHICLES

- ❖ Permission of all vehicles
- Permission letter to be displayed
- Permission given to a particular candidate being used by another, vehicle to be seized and exp. for entire period to be added
- Unauthorized vehicles to be seized and FIR to be lodged under 171H for unauthorized campaign.




## OTHER INSTRUCTIONS:

- If the posters, banners, flags, stickers, etc., with photo or appeal of the leaders (Star Campaigner) without any reference to any particular candidate are used during the elections, the expenditure shall be booked to the account of the political party.
- If expenses on construction of barricades/ rostrums etc. are issued by government agencies on account of security considerations, these should be booked as expenditure of the candidate in whose constituency the meeting takes place.
- If a Video Van is used by the political party during the election process for general party propaganda without mentioning the name of any candidate or without a photo of candidate.....?? With Photo or mention of candidate.....??



## OTHER INSTRUCTIONS:

- The bookings of marriage halls and Mandaps to be checked for camouflaged expenses. Daily reports of bookings to be collected.
  - Distribution of gift items and cash coupons
  - Unusual cash deposit/ withdrawal in account of SHGs and NGOs
  - Wages under ongoing Government schemes only in presence of Government officials during elections
  - Community Kitchen except organized by religious institution within their premises. (if candidate attend in normal visitor than exempted however if financed or election campaign is done than whole expenditure is included.
  - Suspicious cash withdrawal from banks reports
- 

# MONITORING PRODUCTION, STORAGE AND DISTRIBUTION OF LIQUOR

- From the date of announcement of election
- Opening and closing of liquor vending shops
- Inter state movement of vehicles
- Raids to seize illicit liquor
- Inter state coordination
- Report every alternate day to DEO, Exp. Observer and State Level Nodal Officer, who will compile the state level report to Commission and CEO (Annex.22).

# PARTY EXPENDITURE

- Supreme Court in case of Common Cause v/s Union of India directed ECI to prepare a format for political parties to submit their expenditure
- Pol. Parties to inform the ECI in 75 days (for AC) and in 90 days ( for PC) after completion of poll, details of expenses borne on such hiring of crafts.

## **EXPENDITURE SENSITIVE CONSTITUENCIES (ESCs) & EXPENDITURE SENSITIVE POCKETS (ESPs)**

**ESCs to be identified by CEO, based on profile of constituency and candidates**

**More FSs and SSTs with CPF**

**ESPs to be identified by Sector Officers or police in a constituency-based on level of education, development and demography**

**ESPs to be kept under close watch all the time**

**ESPs to have 24X7 check post during last 72 hours before poll**

**ESPs need more campaign for ethical voting**

**Liquor sale to be closely monitored in ESPs**

# NODAL OFFICERS

- CEO office- training, coordinating with commission and daily seizure reports to commission.
- Police Nodal officer- rank of IG. Coordinate with squads and their training of master trainers.
- Nodal officer of income tax- rank of joint commissioner.
- Nodal officer of excise.- commissioner rank.
- Nodal officer of expenditure monitoring cell at district.

## ROLE ASSIGNED TO: INCOME TAX DEPARTMENT

- To gather intelligence/ keep watch over movement of cash in the constituency and take necessary action under IT Laws
- To watch all airports of the state, major railway stations, hotels, farm houses, hawala agents, financial brokers, Cash couriers, Pawn brokers
- IT Deptt. will open **Air Intelligence Units** in all airports of the poll bound states and also shall keep vigil over movement of cash **through aircrafts/ helicopters leading to poll bound states,**



# EXPECTATIONS FROM CANDIDATES..

- They are required to maintain an account of election expenditure truly and correctly from the date on which he has been nominated to the date of declaration of results (both dates inclusive).
- It is necessary to get the election expenditure account inspected at least 3 times during campaign period before the election authorities as per schedule fixed by RO.
- All candidates have to lodge their true election expenditure account before the District Election Officer within 30 days of declaration of result.

***Robust expenditure monitoring mechanism  
and its strict implementation plays a key role  
in ensuring free and fair elections***

***And***

***Provide equal playing field to all contestants***





धन्यवाद !

THANKS

## QUIZ

Q. What is limit of expenditure , which can be incurred in cash by a contesting candidate.

- A. 20000
- B. 10000
- C. 28000
- D. no limit

Q. Flying squad is headed by a...

A. senior police officer

B. S.H.O

C. A.R.O

D. A senior executive magistrate

Q. Who notify the rates of various items for assessing the election expenses of candidates

- A. Expenditure observer
- B. election commission
- C. D.E.O
- D. R.O

Q. What is time period for which any candidate is required to keep separate account of expenditure

- A. announcement of election to result
- B. last date of withdrawal to result
- C. nomination to polling
- D. nomination to result.

Flying squad is headed by a...

- A. senior police officer
- B. S.H.O
- C. A.R.O
- D. A senior executive magistrate

Q. Shadow observer register is maintained by

- A. Accounting team
- B. static surveillance team
- C. Nodal officer for expenditure monitoring cell.
- D. video surveillance team

Q. What is task of SST

- A. To video record the political rallies
- B. To maintain folder of evidences.
- C. To supervise illicit cash/liquor flow by setting up check posts
- D. to raid and search the suspected places

Q. How much cash a star campaigner can carry with himself/herself

A 50000

B 1 lakh

C 10000

D 70000

Q The accounts reconciliation meeting is held after declaration of result after

1. 25<sup>th</sup> day
2. 26<sup>th</sup> day
3. 27<sup>th</sup> day
4. 30<sup>th</sup> day

Q A candidate can open the saving account jointly with

1. his/her spouse
2. election agent
3. any family member
4. nobody

Q During election the Expenditure Observer undertakes

1. Two visits
2. Three visits
3. Four visits
4. Not fixed

Q. Which of the following expenditure is not accounted for the candidate.

1. Expenditure incurred on the day of nomination
2. Expenditure incurred on the day of result
3. Expenditure incurred by party workers for candidate
4. Expenditure on travel of star campaigner not accompanying the candidate